

## D. Overview

- analytical mechanics: derive equations of motion using different frameworks of varying mathematical complexity
- deterministic systems: - determined by initial conditions  
- causal: generated by forces

## 1. Newtonian mechanics

### 1.1 Approach

Kinematics & dynamics of systems of mass point without constraints

↓  
 framework  
 using energy  
 instead of forces  
 "geometry of motion"

↑  
 motion based  
 on forces

### 1.2 Newton's law of motion

I: A body remains at rest or in motion a constant speed in a straight line, unless it is acted upon by a force

II: The net force on a body is equal to the body's acceleration multiplied by its mass  
 (the rate of change of its acceleration).

III: If two bodies exert forces on each other, these forces have the same magnitude but opposite directions.

IV: Forces obey the superposition principle.

event:  $\underline{v}(f_1, t) \in \mathbb{R}^3 \times \mathbb{R}$

dynamic variable:  $\underline{r}(t)$ : trajectory

$$\underline{v}(t) = \frac{d}{dt} \underline{r}(t) = \dot{\underline{r}}(t) \quad \text{velocity}$$

I  $\leftrightarrow$  system of inertia (Galilean transformation)

$$\underline{r}(t) = \underline{R} \underline{v}(t') + \underline{v}_0 t + \underline{s}_0$$

$t' \geq t_0$ ,  $\underline{R}$ : rotational matrix

Newton's laws of motion are Galilean invariant

↳ existence of absolute space

↳ all inertial frames share a common frame

- II  $\hookrightarrow \underline{\ddot{F}} = m \frac{d^2}{dt^2} \underline{r}(t)$  has a unique solution  
for initial conditions  $(\underline{r}_0, \dot{\underline{r}}_0) \Rightarrow \underline{r}(t; \underline{r}_0, \dot{\underline{r}}_0)$
- III  $\hookrightarrow$  no external forces: overall momentum is conserved
- IV  $\hookrightarrow$  force add little vectors
- mass : a measure of the body's inertia = gravitational mass

$$\underline{\ddot{F}}^{(12)} = -G m^{(1)} m^{(2)} \frac{\underline{r}^{(1)} - \underline{r}^{(2)}}{|\underline{r}^{(1)} - \underline{r}^{(2)}|^3}, \quad G = 6.67 \cdot 10^{-11} \frac{\text{Nm}^2}{\text{kg s}^2}$$

## 2 D'Alembert's principle

### 2.1 Constraints and forces

System of  $N$  mass points  $\rightarrow 3N$  degrees of freedom (without constraints)

Idea: reduction of degrees of freedom by constraints.

$$(i) \text{ holonomic: } f_\mu(\underline{r}_1, \dots, \underline{r}_N, t) = 0, \mu = 1, \dots, l \Rightarrow l = 3N - 1$$

$$\text{total differential: } df_\mu = \sum_{i=1}^N \nabla_i f_\mu \cdot d\underline{r}_i + \frac{\partial f_\mu}{\partial t} dt = 0$$

$$(ii) \text{ nonholonomic: } \sum_{i=1}^N \underline{a}_{\mu i}(\underline{r}_1, \dots, \underline{r}_N, t) \cdot d\underline{r}_i + \underline{g}_\mu(\underline{r}_1, \dots, \underline{r}_N, t) dt = 0$$

but cannot be written as  $d\underline{f}_\mu = \dots = 0$  (even with an integrating factor)

$$\text{integrating factor: } \mathcal{F} g_\mu: \underline{a}_{\mu i} = g_\mu \nabla_i f_\mu$$

(meas: motion locally restricted)

(a) rheonomic: explicitly time dependent

(b) scleronomic: not explicitly time dependent

$$\text{equations of motion: } \ddot{u}_i \cdot \ddot{\underline{r}}_i = \ddot{\underline{r}}_i + \underbrace{\sum_{j=1}^N \ddot{\underline{r}}_{ij}}_{\substack{\text{external} \\ \text{internal forces}}} =: \ddot{\underline{X}}_i, i = 1, \dots, N$$

$\hookrightarrow$  solution given constraints.

Idea: turn constraints into forces governing the motion:

$$\ddot{u}_i \cdot \ddot{\underline{r}}_i = \ddot{\underline{X}}_i + \sum_i$$

### 2.2 Virtual displacement

• (hypothetical) deviation from trajectory obeying the constraints (for like time)

• ex. problem on oscillating plane:  $f = \underline{n} \cdot (\underline{r} - \underline{r}(t)) = 0$

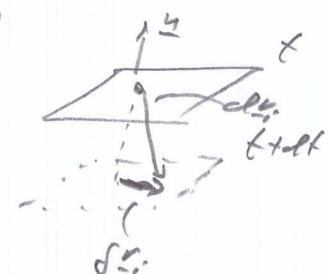
motion of system

$$\Rightarrow df = \underline{n} \cdot (d\underline{r}_i - \underline{v}_0(t) dt) = 0 \quad \text{with } \underline{v}_0 = \frac{d\underline{r}}{dt}|_{t=0}$$

$$\Rightarrow \underline{n} \cdot d\underline{r}_i = \underline{v}_0(t) dt \quad (\text{work of force not zero})$$

$$\text{but } \delta f = \underline{n} \cdot \delta \underline{r}_i = 0 \quad \Rightarrow \quad \delta \underline{r}_i + \underline{v}_0$$

fixed line



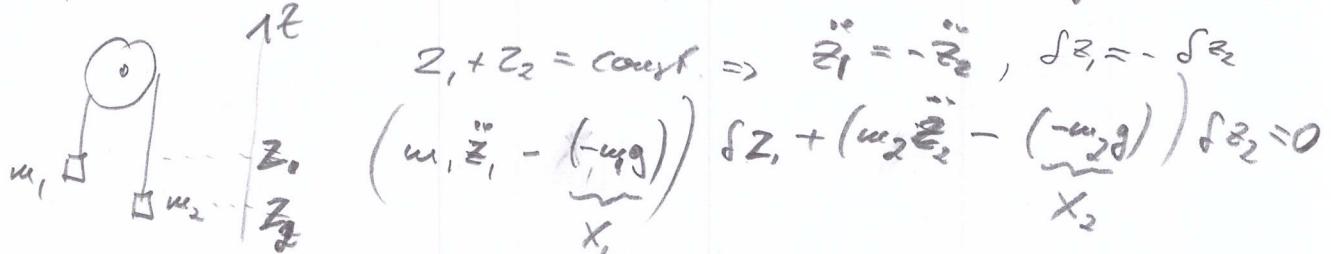
## 2.3 D'Alembert's principle

virtual work:  $\sum_{i=1}^N \ddot{z}_i \cdot f_{x_i} = 0 \Rightarrow$  D'Alembert's principle:  $\sum_{i=1}^N (m_i \ddot{z}_i - X_i) \cdot f_{x_i} = 0$

$$\text{ex: } (i) \ddot{z}_1 \cdot f_{x_1} = \lambda \underbrace{\sum_{i=1}^n}_{=m_1} f_{x_i} = 0 \quad \text{if top plane}$$

(notes no constraint explicitly handled)

(ii) Atwood's machine:  $m_1$  &  $m_2$  connected via a pulley by a string



$$\Rightarrow \underbrace{[(m_1 + m_2) \ddot{z}_1 + (m_1 - m_2) g]}_{=0} \delta z_1 = 0 \text{ for arbitrary } \delta z_1$$

$$\Rightarrow \ddot{z}_1 = -\frac{m_1 - m_2}{m_1 + m_2} g$$

general notations:  $x_i \rightarrow x_j, X_i \rightarrow K_j, \alpha_{xi} \rightarrow \phi_j^{(1)}$

$$\Rightarrow \sum_{j=1}^{3N} (m_j \ddot{x}_j - K_j) \delta x_j = 0 \text{ given } \sum_{j=1}^{3N} \phi_j^{(1)} \delta x_j = 0$$

idea: Lagrange multipliers (add constraints to equation of motion)

$$\Leftrightarrow \sum_{j=1}^{3N} (m_j \ddot{x}_j - K_j - \sum_{\mu=1}^{\Lambda} \lambda_{\mu}^{(1)} \phi_j^{(1)}) \delta x_j = 0$$

choose deformation  $\lambda_1^{(1)}, \dots, \lambda_{\Lambda}^{(1)}$  such that  $m_j \ddot{x}_j - K_j - \sum_{\mu=1}^{\Lambda} \lambda_{\mu}^{(1)} \phi_j^{(1)} = 0, j=1, \dots, 3N$

$$\text{insert into remaining equations: } \sum_{j=\Lambda+1}^{3N} \underbrace{(m_j \ddot{x}_j - K_j - \sum_{\mu=1}^{\Lambda} \lambda_{\mu}^{(1)} \phi_j^{(1)})}_{=0} \delta x_j = 0 \text{ free}$$

$\Rightarrow$  Lagrange's equation of the first kind:

$$m_j \ddot{x}_j - K_j - \sum_{\mu=1}^{\Lambda} \lambda_{\mu}^{(1)} \phi_j^{(1)} = 0 \quad j=1, \dots, 3N$$

## 2.4 Generalized coordinates

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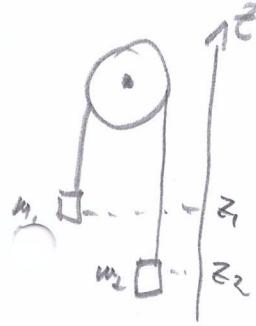
set  $\{q_1, \dots, q_f\}$  of independent coordinates that obey given constraints

ideal: express virtual displacements  $\delta x_i$  ( $i=1, \dots, N$ ) by  $\delta q_k$  ( $k=1, \dots, f$ )

$$\delta x_j \quad (j=1, \dots, 3N)$$

with  $f = \text{[degrees of freedom]}$ .

Case study: Atwood's machine



$$(i) \text{ Newton} \quad F = ma$$

$$(ii) \text{ D'Alembert} \quad \sum_{i=1}^N \ddot{x}_i \cdot \ddot{x}_i = 0 = \sum_{i=1}^N (m_i \ddot{x}_i - \underline{X}_i) \ddot{x}_i \quad \left. \ddot{x}_i = -\frac{m_1 - m_2}{m_1 + m_2} g \right\}$$

$$(iii) \text{ Lagrange} \quad m_j \ddot{x}_j - k_j - \sum_{\mu=1}^f \lambda_\mu \frac{\partial L}{\partial x_j} = 0$$

## 2.5 Lagrangian equations of 2nd kind

idea: express  $r_i, \dot{r}_i, \ddot{r}_i$  by generalized coordinates  $q_j, \dot{q}_j, \ddot{q}_j, j=1, \dots, f$   
 $i=1, \dots, N$

$$\text{e.g.: } \ddot{r}_i = \sum_{j=1}^f \left( \frac{\partial r_i}{\partial q_j} \dot{q}_j \right) \ddot{q}_j, \quad \frac{\partial \dot{r}_i}{\partial \dot{q}_j} = \frac{\partial r_i}{\partial q_j}, \quad \frac{d}{dt} \left( \frac{\partial r_i}{\partial \dot{q}_j} \dot{q}_j \right) = \frac{\partial \dot{r}_i}{\partial q_j}$$

Defining the Lagrangian / Lagrange function:  $L = T - V$

with  $T = \sum_{i=1}^N \frac{1}{2} m_i \dot{r}_i^2$  and  $Q_j = -\frac{\partial V}{\partial q_j}$  (generalized forces):

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_j} \right) - \frac{\partial L}{\partial q_j} = 0, \quad j=1, \dots, f$$

$$\Leftrightarrow \frac{d}{dt} \left( \frac{\partial T}{\partial \dot{q}_j} \right) - \frac{\partial T}{\partial q_j} = Q_j$$

$$b) T = \frac{1}{2} m (\ddot{q}_1^2 + \ddot{q}_2^2)$$

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$$V = \frac{1}{2} m \frac{g}{\ell} (q_1^2 + q_2^2) + \frac{1}{2} k (q_1 - q_2)^2$$

matrix form:  $\underline{T} = \left\{ \begin{matrix} T_{j,k} \\ \end{matrix} \right\}_{j,k=1,2} = \begin{pmatrix} m & 0 \\ 0 & m \end{pmatrix}$

$$\underline{V} = \left\{ \begin{matrix} V_{j,k} \\ \end{matrix} \right\}_{j,k=1,2} = \begin{pmatrix} m \frac{g}{\ell} + k & -k \\ -k & m \frac{g}{\ell} + k \end{pmatrix}$$

equation of motion:  $\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_j} \right) - \frac{\partial L}{\partial q_j} = 0, j=1,2$

$$m \ddot{q}_1 + m \frac{g}{\ell} q_1 + k(q_1 - q_2) = 0$$

$$m \ddot{q}_2 + m \frac{g}{\ell} q_2 - k(q_1 - q_2) = 0$$

using the ansatz:  $q_j = A_j e^{i\omega t}$ :

equation equation:  $\underbrace{\begin{pmatrix} m \frac{g}{\ell} + k & -k \\ -k & m \frac{g}{\ell} + k \end{pmatrix}}_{\underline{V}} \begin{pmatrix} A_1 \\ A_2 \end{pmatrix} = \underbrace{m \omega^2}_{\omega^2 \underline{I}} \begin{pmatrix} A_1 \\ A_2 \end{pmatrix}$

$\Downarrow$

## 2.6 Normal modes (continued)

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case: 2 coupled pendula

$$\left. \begin{aligned} m\ddot{q}_1 + m\frac{g}{l}q_1 + k(q_1 - q_2) &= 0 \\ m\ddot{q}_2 + m\frac{g}{l}q_2 - k(q_1 - q_2) &= 0 \end{aligned} \right\} \text{derived via Lagrange II}$$

→ transform into eigenvalue 1-vector problem:

$$\text{Eigenvalues: } \omega_1^2 = \frac{g}{l} \quad \text{Eigenvectors: } \begin{pmatrix} A_1^{(1)} \\ A_2^{(1)} \end{pmatrix} = \frac{1}{\sqrt{2m}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

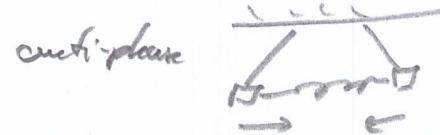
$$\omega_2^2 = \frac{g}{l} + 2\frac{k}{m} \quad \begin{pmatrix} A_1^{(2)} \\ A_2^{(2)} \end{pmatrix} = \frac{1}{\sqrt{2m}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

$$\Rightarrow \text{Selection: } Q_1 = \frac{1}{\sqrt{2m}} (q_1 + q_2) : \text{coordon of center of mass}$$

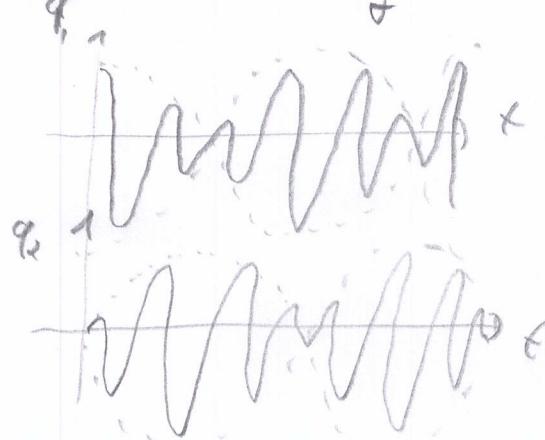
normal  
modes

$$Q_2 = \frac{1}{\sqrt{2m}} (q_1 - q_2) : \text{relative motion}$$

$$(q_j(t) = \sum_{\alpha} A_j^{(\alpha)} Q_{\alpha}(t))$$



General selection: mode beating



$$\text{general approach/case: } L = T - V = \frac{1}{2} \sum_{j,k=1}^f \left( T_{jk} \ddot{q}_j \dot{q}_k^2 - V_{jk} \dot{q}_j \dot{q}_k \right)$$

$$\rightarrow \sum_{k=1}^f (V_{jk} - \omega^2 T_{jk}) A_k = 0 \Rightarrow \det(V_{ik} - \omega^2 T_{ik}) = 0 \Rightarrow \omega_1^2, \omega_f^2$$

$$\text{General Selection: } q_k(t) = \operatorname{Re} \left\{ \sum_{\alpha=1}^f C_{\alpha}^{(k)} A_k^{(\alpha)} e^{i \omega_{\alpha} t} \right\}, \ddot{Q}_d + \omega_d^2 Q_d = 0$$

or differential bairital condition

### 3 Hamiltonian principle

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#### 3.1 Variational problem

D'Alembert principle  $\rightarrow$  differential variation (virtual displacements  $\delta q_i$ )

Hamiltonian principle  $\leftrightarrow$  variation of entire trajectory

- Define a function functional  $W: C^2 \rightarrow \mathbb{R}$

$$q(t) \mapsto W[\bar{q}] := \int_{t_1}^{t_2} dt L(q, \dot{q}, t)$$

such that  $W[\bar{q}] = 0$  for the real  $q(t)$ .

- variations  $q'(t)$  of  $q(t)$ :  $t_1 \leq t \leq t_2$

$$(i) q'(t) \in C^2 \quad (ii) \delta q(t) = q'(t) - q(t) \quad (iii) \delta t = 0 \quad (iv) q'(t_1) = q(t_1) \\ q'(t_2) = q(t_2)$$

#### 3.2 Hamiltonian principle

$$0 = W[\bar{q}] = \int_{t_1}^{t_2} dt \sum_{k=1}^f \left\{ \frac{\partial L}{\partial \dot{q}_k} \delta q_k + \frac{\partial L}{\partial q_k} \frac{d}{dt} \delta q_k \right\}$$

$$= \int_{t_1}^{t_2} dt \sum_{k=1}^f \left\{ \frac{\partial L}{\partial \dot{q}_k} - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_k} \right) \right\} \delta q_k$$

$$\Rightarrow \frac{\partial L}{\partial \dot{q}_k} - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_k} \right) = 0 \quad k=1, \dots, f \quad (\text{Euler-Lagrange equation})$$

#### 3.3 Gauge transformation

Theorem: The gauge transformation  $L \rightarrow L' = L + \frac{d}{dt} M(q, t)$

leaves the Euler-Lagrange equations invariant.

## 4 Symmetries & laws of conservation

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### 4.1 Noether - Theorem

idea: continuous infinitesimal transformation that leave the physical system invariant ( $\frac{dL}{ds} = 0$ )  $\Leftrightarrow$  conserved quantity

Theorem: Let the Lagrange function/Lagrangian  $L(q_1, \dots, q_f, \dot{q}_1, \dots, \dot{q}_f)$  of an autonomous system be invariant w.r.t. infinitesimal transformations  $q \rightarrow h^s(q)$  ( $s$ : continuous parameter,  $h^{s=0}(q) = q$ )  
Then there exists an integral of motion / conserved quantity.

$$I(q, \dot{q}) = \sum_{j=1}^f \frac{\partial L}{\partial \dot{q}_j} \left( \frac{d}{ds} h_j^s(q_j) \right)_{s=0}$$

$$\bullet h^s(q) = \underbrace{h^{s=0}(q)}_{=q} + s \left( \frac{d}{ds} h^s(q) \right)_{s=0} + O(s^2)$$

### 4.2 Translational invariance

translational invariance  $\Leftrightarrow$  Conservation of momentum

$$\text{e.g.: } h^s : r_i \rightarrow r_i + s e_x \quad \left. \begin{array}{l} \text{and } \frac{dL}{ds} = 0 \\ \Rightarrow \sum_i m_i x_i = P_x = \text{const.} \end{array} \right\} \quad x\text{-component of total linear mom.}$$

$$\bullet \text{generalized momenta: } p_j = \frac{\partial L}{\partial \dot{q}_j}$$

$$\bullet \text{cyclic coordinates: } \frac{\partial L}{\partial \dot{q}_j} = 0 \quad \Rightarrow \quad p_j = \text{constant}$$

$$\frac{\partial L}{\partial \dot{q}_j} = \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_j}$$

### 4.3 Rotational invariance

Rotation w.r.t. z axis:  $\underline{h}^s : \underline{r}_i \rightarrow \underline{r}'_i = \underline{h}^{s_0}(\underline{r}_i) + \int \frac{d}{ds} \underline{h}^s(\underline{r}_i) \Big|_{s=0}$   
 (infinitesimal)

$$= \underline{r}_i + s (\underline{r}_i \times \underline{\epsilon}_z)$$

- Invariant  $L(\underline{r}_i, \dot{\underline{r}}_i) = L(\underline{r}'_i, \dot{\underline{r}}_i)$  (rotation is an orthogonal transformation)

$$\Rightarrow \left( \frac{dL}{ds} \right)_{s=0} = - \left( \frac{dL}{d\underline{r}} \right)_{s=0} = - \sum_{i=1}^n \underbrace{P_i}_{\text{force}} \cdot \underbrace{V_i \cdot \frac{d\underline{r}_i}{ds} \Big|_{s=0}}_{\text{velocity}} = - \sum_{i=1}^n \underbrace{V_i \times \dot{\underline{r}}_i}_{\text{total torque}} = 0$$

forceaction  $\Rightarrow$  only distance dependent

Integral of motion

$$\Rightarrow I = \sum_{i=1}^n \frac{\partial L}{\partial \dot{\underline{r}}_i} \cdot \left( \frac{d\underline{r}}{ds} \right)_{s=0} = \dots = - \sum_{i=1}^n (\underline{r}_i \times m_i \dot{\underline{r}}_i) = - \underline{h}_z$$

Rotational invariance  $\Rightarrow$  conservation of angular momentum

- $\frac{\partial L}{\partial \dot{q}} = 0$  cyclic variable  $\Leftrightarrow \frac{\partial L}{\partial \dot{q}} \left( \frac{\partial \dot{q}}{\partial \dot{q}} \right) = 0 \Leftrightarrow P_q = \text{const}$

$P_q$

### 4.4 Temporally translational invariance

- (i) no explicitly time-dependent constraint:  $\underline{r}_i = \underline{r}_i(q_1, \dots, q_f)$

$$\frac{\partial \underline{r}_i}{\partial t} = 0 \Rightarrow \dot{\underline{r}}_i = \sum_{j=1}^f \frac{\partial \underline{r}_i}{\partial q_j} \dot{q}_j$$

- (ii)  $\frac{\partial L}{\partial \dot{t}} = 0$  (skeletonomic constraint)

$$\frac{d}{dt} L = \sum_{i=1}^n \frac{d}{dt} \left( \frac{1}{2} \dot{\underline{r}}_i \cdot \dot{\underline{r}}_i \right) = \frac{d}{dt} (T - U) \Rightarrow 0 = \frac{d}{dt} (T - U) = \frac{d}{dt} (T + V)$$

$$L = T(q) - U(q)$$

$$\Rightarrow T + V = \text{const}$$

Temporally translational invariance  $\Rightarrow$  conservation of energy

## 4.5 Two-body problem

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2 (point) masses in 3D  $\Rightarrow f = 6$  degrees of freedom

$\Rightarrow 2f = 12$  integration constants / initial values

potential:  $V(\underline{r}_1, \underline{r}_2) = V(|\underline{r}|, -|\underline{r}_1|)$  distance-dependent

(i) translational invariance  $\Rightarrow$  conservation of momentum  $\underline{P} = M\underline{R} = \text{const}$

$$\Rightarrow \underline{R}(t) = \frac{\underline{P}}{M} t + \underline{R}_0$$

$\Rightarrow 3$  initial values  $\underline{P}$

$$\underline{R}_0 = \underline{R}_0$$

(ii) rotational invariance  $\Rightarrow$  conservation of angular momentum  $\underline{l} = m_1 \underline{r}_1 \times \underline{v}_1$

$$+ m_2 \underline{r}_2 \times \underline{v}_2 \\ = \text{const}$$

$\Rightarrow 3$  initial conditions  $\underline{l}$

(iii) temporally translational invariance  $\Rightarrow$  conservation of energy

$$E = \frac{1}{2} m_1 \dot{\underline{r}}_1^2 + \frac{1}{2} m_2 \dot{\underline{r}}_2^2 + V(|\underline{r}|, -|\underline{r}_1|) = \text{const}$$

$\Rightarrow 1$  initial value  $E$

$\Rightarrow 10$  of 12 initial values given by system setup

coordinates of center of mass:  $\underline{R} = \frac{1}{M} (m_1 \underline{r}_1 + m_2 \underline{r}_2)$

relative coordinate:  $\underline{r} = \underline{r}_1 - \underline{r}_2$

$\Rightarrow$  Lagrangian:  $L = \frac{M}{2} \dot{\underline{R}}^2 + \frac{m}{2} \dot{\underline{r}}^2 - V(\underline{r})$ ,  $m = \frac{m_1 m_2}{m_1 + m_2}$  reduced mass

$\Rightarrow \underline{R}$  is a cyclic variable ( $\Rightarrow \frac{\partial L}{\partial \dot{\underline{R}}_A} = \text{const} = P_A = \text{const}$ )  $\Rightarrow \underline{R}(t) = \frac{\underline{P}}{M} t + \underline{R}_0$

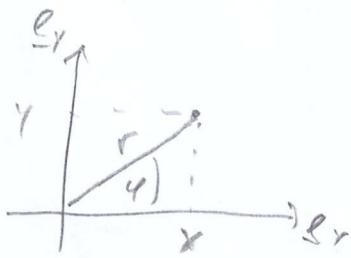
W.l.g.  $P = 0 = \underline{R}_0 \Rightarrow \underline{R} = 0$

angular momentum  $\underline{l} = \dots = m \underline{r} \times \underline{\dot{r}}$

$\Rightarrow \underline{l} \cdot \underline{r} = 0, \underline{l} \cdot \underline{\dot{r}} = 0$  (constant in space)

$\Rightarrow$  effective 2D problem (w.l.g.  $\underline{l} \parallel \underline{e}_z$ )

$\Rightarrow$  polar coordinates



$$\begin{aligned} x &= r \cos \varphi \Rightarrow \dot{x} = \dot{r} \cos \varphi - r \dot{\varphi} \sin \varphi \\ y &= r \sin \varphi \Rightarrow \dot{y} = \dot{r} \sin \varphi + r \dot{\varphi} \cos \varphi \end{aligned} \quad \Rightarrow \dot{r}^2 = \dot{r}^2 + r^2 \dot{\varphi}^2$$

$$\Rightarrow L = \frac{m}{2} (\dot{r}^2 + r^2 \dot{\varphi}^2) - V(r) \quad \text{and } (x\dot{y} - \dot{x}y)$$

$\Rightarrow \varphi$  is a cyclic variable  $\Leftrightarrow \frac{\partial L}{\partial \dot{\varphi}} = \text{const} = P_\varphi = m r^2 \dot{\varphi} = l_z = l$   
 $\frac{\partial L}{\partial r} = 0$   $\underline{l} \parallel \underline{e}_z$

$\Rightarrow$  Kepler's 2<sup>nd</sup> law: Along its orbit and the sun sweeps out equal areas during equal intervals of time.

$$\begin{aligned} dT &= \frac{1}{2} |v| / \text{const} d\varphi \\ \xrightarrow[dv \rightarrow 0]{dt \rightarrow 0} \frac{dT}{dt} &= \frac{1}{2} r^2 \frac{d\varphi}{dt} = \frac{1}{2} r^2 \frac{l}{mr^2} = \frac{l}{2mr} = \text{const} \end{aligned}$$

$$\text{alternative: } dT = \frac{1}{2} (r \times d\vec{s}) = \frac{1}{2} |r \times \dot{r}| dt \Rightarrow \frac{dT}{dt} = \frac{1}{2} |\dot{r} \times \dot{r}|$$

$$\begin{aligned} &= \frac{1}{2mr} |\dot{r} \times m\dot{r}| \\ &= \frac{1}{2mr} l \end{aligned}$$

## 9.5.2 Conservation of energy & trajectory (around the sun) 39a

Euler-Lagrange equation:  $\frac{d}{dt} \frac{\partial L}{\partial \dot{r}} - \frac{\partial L}{\partial r} = 0$

$$L = \frac{1}{2} m (\dot{r}^2 + r^2 \dot{\varphi}^2) - V(r)$$

$$\Rightarrow m \ddot{r} - m r \dot{\varphi}^2 + \frac{\partial L}{\partial r} = 0, \quad \dot{\varphi} = \frac{\ell}{m r^2}$$

$$\Rightarrow \dots \Rightarrow E = \frac{m}{2} \dot{r}^2 + \underbrace{\frac{\ell^2}{2mr^2}}_{\text{Angular momentum}} + V(r) = \text{const}$$

$$\left| \frac{\partial^2}{\partial m r^2} \Rightarrow T_2 = \frac{\ell^2}{2mr^3} \right.$$

$$\Rightarrow f(r)_{t=0} = \int_{r_0}^r \frac{dr'}{\sqrt{\frac{2}{m}(E - \tilde{V}(r'))}} =: \tilde{V}(r) \Rightarrow t(r) \text{ or } r(t)$$

$$\varphi(t) - \varphi_0 = \int_{t_0}^t dt' \frac{\ell}{m r^2(t')} \rightarrow \varphi(t)$$

$$\frac{dr}{d\varphi} = \frac{\dot{r}}{\dot{\varphi}} = \frac{m r^2}{\ell} \sqrt{\frac{2}{m}(E - \tilde{V}(r))}$$

$$\Rightarrow \varphi(r) - \varphi_0 = \int_{r_0}^r \frac{dr'}{r'^2 \sqrt{\frac{2m}{\ell^2}(E - \tilde{V}(r))}} \Rightarrow \varphi(r), r(\varphi)$$

## 9.5.3 motion of planet & Kepler's laws

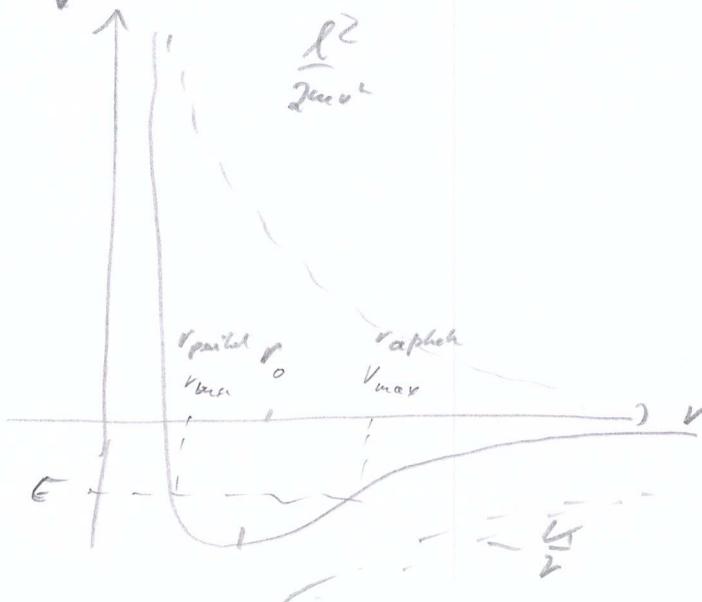
$$\text{noice: } V(r) = -\frac{F_{\text{grav}}}{r} = -\frac{K}{r} \Rightarrow \tilde{V}(r) = -\frac{K}{r} + \frac{\ell^2}{2mr^2} \left\{ \begin{array}{l} r \rightarrow \infty \Rightarrow \frac{\ell^2}{2mr^2} \rightarrow 0 \\ r \rightarrow 0 \Rightarrow -\frac{K}{r} \rightarrow \infty \end{array} \right.$$

motion possible only for  $E > \tilde{V}(r)$  ( $\frac{1}{2} m \dot{r}^2 = E - \tilde{V}$ )

$$\text{closed orbit} \quad -\frac{m \ell^2}{2r^2} < E < 0$$

Orbital profile

$$\tilde{V}(r)$$



$$r_{\text{perihelion}} = \frac{1}{2(E)} \left( K + \sqrt{K^2 - \frac{2\ell^2}{m}} \right)$$

$$\varphi - \varphi_0 = \int_{r_0}^r \frac{dr'}{r'^2 \sqrt{\frac{2m}{\ell^2} E + \frac{2mK}{\ell^2} + \frac{1}{r'^2}}}$$

### 4.5.3 motion of planets & Kepler's laws

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$$\text{solving the integral } \varphi - \varphi_0 = \int_{r_0}^r \frac{dr'}{r'^2 \sqrt{\frac{2m}{\ell^2} (E - \tilde{V}(r))}}$$

$$= \int_{r_0}^r \frac{dr'}{r'^2 \sqrt{\frac{2m}{\ell^2} \left( E + \frac{k}{r'} - \frac{\ell^2}{2mr'^2} \right)}}$$

by (i) quadratic expansion and (ii) substitution

$$(i) \frac{2m}{\ell^2} (E - \tilde{V}(r)) = \dots = D \left[ 1 - \frac{1}{D} \left( \frac{1}{r'} - \frac{mk}{\ell^2} \right)^2 \right] \text{ with } D = \frac{2m}{\ell^2} \left( \frac{mk^2}{2\ell^2} + E \right)$$

$$(ii) \cos \vartheta' = \frac{1}{\sqrt{D}} \left( \frac{1}{r'} - \frac{mk}{\ell^2} \right) = \frac{d\vartheta'}{dr}$$

$$\Rightarrow \frac{d}{dr'} \cos \vartheta'(r) = \frac{d}{dr} \frac{1}{\sqrt{D}} \left( \frac{1}{r'} - \frac{mk}{\ell^2} \right)$$

$$\Rightarrow -\sin \vartheta' \frac{d\vartheta'}{dr'} = -\frac{1}{\sqrt{D} r'^2} \quad \Rightarrow \frac{dr'}{\sqrt{D} r'^2} = +\sin \vartheta' d\vartheta'$$

$$\Rightarrow \varphi - \varphi_0 = \int_{\vartheta_0}^{\vartheta} d\vartheta' \frac{1}{\sqrt{1 - \cos^2 \vartheta'}} = \int_{\vartheta_0}^{\vartheta} d\vartheta' = \vartheta - \vartheta_0$$

$$\Rightarrow \varphi(r) = \arccos \left[ \frac{1}{\sqrt{D}} \left( \frac{1}{r'} - \frac{mk}{\ell^2} \right) \right]$$

$$\Rightarrow r(\varphi) = \frac{\ell^2/mk}{1 + \epsilon \cos \varphi}$$

$\epsilon = 0$  : circle

$0 < \epsilon < 1$  : ellipse ( $\frac{mk^2}{2\ell^2} < E < 0$ )

$\epsilon = 1$  : parabola

$\epsilon > 1$  : hyperbola

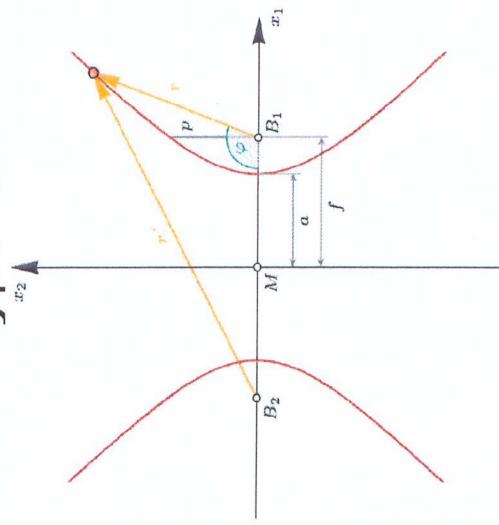
$\epsilon$  = numeric eccentricity

Kepler's 3<sup>rd</sup> law:  $T^2 \sim a^3$

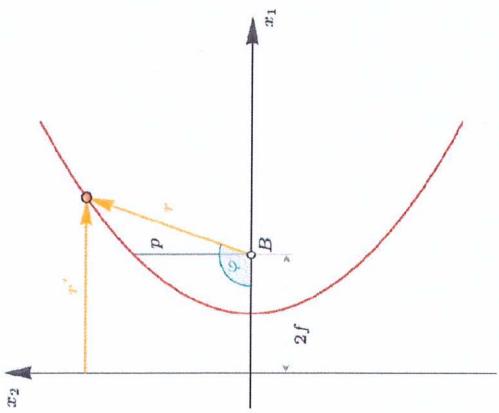
$\uparrow$   
period

$\uparrow$   
semi-axis of ellipse

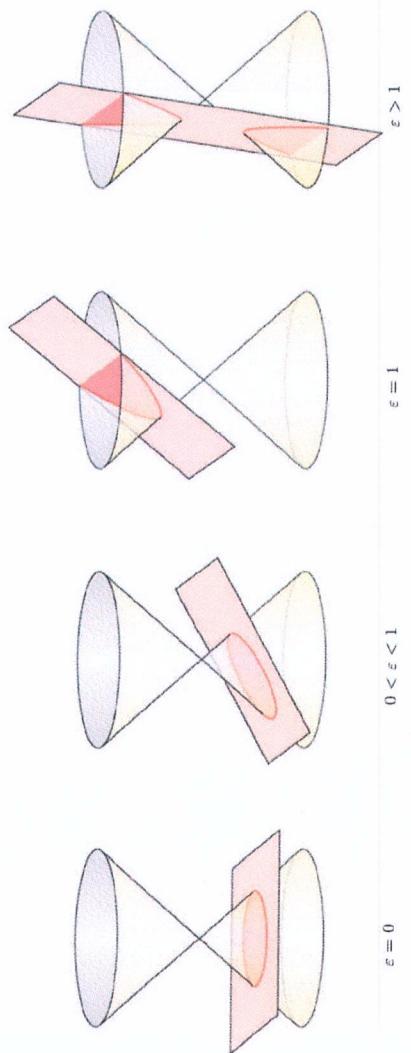
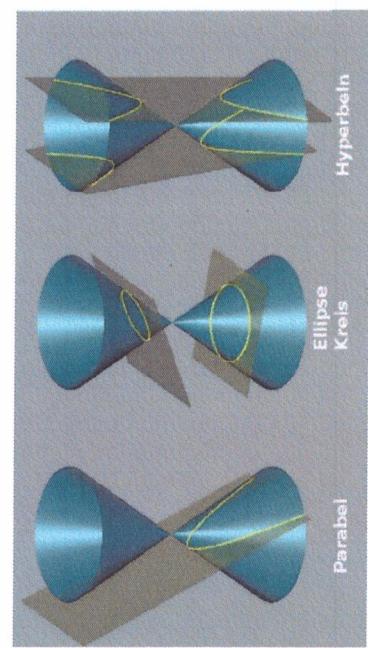
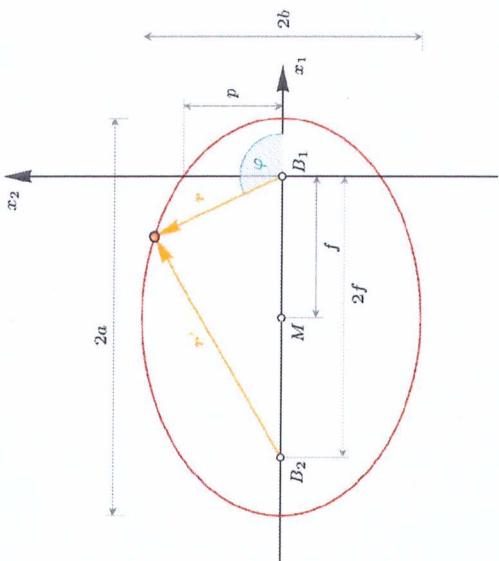
## Hyperbel



## Parabel



## Ellipse



## 5 Hamilton formalism

idea: instead of  $(q_1, \dots, q_f, \dot{q}_1, \dots, \dot{q}_f)$  choose  $(q_1, \dots, q_f, p_1, \dots, p_f)$   
with  $\frac{\partial L}{\partial \dot{q}_j} = p_j$

### 5.1 Legendre transformation

idea:  $x \rightarrow u = \frac{dt}{dx} \rightarrow$  inverse:  $\varphi(u) = x$   
 $y = f(x)$

$$y \Rightarrow z = xu - f(x) = x \frac{dt}{dx} - f(x) = \varphi(u)u - f(\varphi(u)) = g(u)$$

applied to Lagrangian:

$$Y = L, \quad x = \dot{q} \Rightarrow u = \frac{\partial L}{\partial \dot{q}} = p \Rightarrow z = \dot{q}p - L(q, \dot{q}, t) = H(q, p, t)$$

Similarly:  $H(q_1, \dots, q_f, p_1, \dots, p_f, t) = \sum_{j=1}^f \dot{q}_j p_j - L(q_1, \dots, q_f, \dot{q}_1, \dots, \dot{q}_f, t)$

### 5.2 Hamilton equations

calculate  $dH$  and equate term  $dq_j, dp_j, dt$

$$\Rightarrow \dot{q}_j = \frac{\partial H}{\partial p_j}, \quad \dot{p}_j = -\frac{\partial H}{\partial q_j}, \quad \frac{\partial H}{\partial t} = \frac{\partial L}{\partial t}$$

app (coordinate protocol)

$$(i) \quad q_1, \dots, q_f$$

$$(ii) \quad r_i = r_i(q_1, \dots, q_f, t), \quad \dot{r}_i = \dot{r}_i(q_1, \dots, q_f, \dot{q}_1, \dots, \dot{q}_f, t)$$

$$(iii) \quad L(q_1, \dots, q_f, \dot{q}_1, \dots, \dot{q}_f, t) \quad \Rightarrow (iv) \quad p_j = \frac{\partial L}{\partial \dot{q}_j}$$

$$(v) \quad H = \sum_{j=1}^f \dot{q}_j p_j - L$$

$$(vi) \quad \dot{q}_j = \frac{\partial H}{\partial p_j}, \quad \dot{p}_j = -\frac{\partial H}{\partial q_j}$$

### 5.3 Symplectic Structure of the phase space

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idea! find  $\sqrt{}$  transformations that leave the Hamiltonian equations  $\dot{q}_j = \frac{\partial H(q_1, \dots, q_f, p_1, \dots, p_f)}{\partial p_j}$ ,  $\dot{p}_j = -\frac{\partial H}{\partial q_j}$

formal variant:  $(q, p) \rightarrow (P, Q)$

core Hamilton principle:  $\int_{t_1}^{t_2} H(q, P, t) dt \rightarrow \bar{H}(P, Q, t)$

4 equivalent forms of generating functions of canonical transformations:

$$(i) M_1(q, P, t) : P_j = \frac{\partial M_1}{\partial q_j}, \quad P_j = -\frac{\partial M_1}{\partial Q_j}$$

$$\bar{H} = H + \frac{\partial M_1}{\partial t}$$

$$\Rightarrow \frac{\partial P_j}{\partial Q_k} = \frac{\partial^2 M_1}{\partial Q_k \partial q_j} = -\frac{\partial P_k}{\partial q_j}$$

$$(ii) M_2(q, P, t) : P_j = \frac{\partial M_2}{\partial q_j}, \quad Q_j = \frac{\partial M_2}{\partial P_j}$$

$$= M_1(q, P, t) - \sum_{k=1}^f \frac{\partial M_1}{\partial Q_k} Q_k$$

Legendre  
by  $dQ_k$

$$\Rightarrow \frac{\partial P_j}{\partial P_k} = \frac{\partial^2 M_2}{\partial P_k \partial q_j} = \frac{\partial Q_k}{\partial q_j}$$

$$(iii) M_3(P, Q, t) : q_j = -\frac{\partial M_3}{\partial P_j}, \quad P_j = -\frac{\partial M_3}{\partial Q_j}$$

$$= M_1 - \sum_{j=1}^f \frac{\partial M_1}{\partial q_j} q_j$$

Legendre  
by  $dP_j$

$$\Rightarrow \frac{\partial q_j}{\partial Q_k} = \frac{\partial^2 M_3}{\partial Q_k \partial P_j} = \frac{\partial P_k}{\partial P_j}$$

$$(iv) M_4(P, Q, t) : q_j = -\frac{\partial M_4}{\partial P_j}, \quad Q_j = \frac{\partial M_4}{\partial P_j}$$

Legendre by  
 $Q_j$  and  $q_j$

$$\Rightarrow \frac{\partial q_j}{\partial P_k} = -\frac{\partial^2 M_4}{\partial P_k \partial P_j} = -\frac{\partial Q_k}{\partial P_j}$$

$$\dot{x} = \begin{pmatrix} q \\ p \end{pmatrix}, \quad \frac{\partial H}{\partial x} = \begin{pmatrix} \frac{\partial H}{\partial q} \\ \frac{\partial H}{\partial p} \end{pmatrix}, \quad J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

$$\Rightarrow \dot{x} = J \frac{\partial H}{\partial x} \Leftrightarrow \dot{q}_j = \frac{\partial H}{\partial p_j}, \quad \dot{p}_j = -\frac{\partial H}{\partial q_j}$$

$$J^2 = I, \quad J^{-1} = J$$

$$\dot{x} = J \frac{\partial H}{\partial x}$$

$$J^{-1} =$$

5. 3 Symplectic structure of the phase space (continued) 55a

again the idea: transformations that leave  $\dot{q}_j = \frac{\partial H}{\partial p_j}$ ,  $\dot{p}_j = -\frac{\partial H}{\partial q_j}$   
 form variety:  $(q, p) \rightarrow (\underline{Q}, \underline{P})$

$$H(q, p, t) \rightarrow \bar{H}(\underline{Q}, \underline{P}, t)$$

variable transform:

$$\underline{x} = \begin{pmatrix} q_1 \\ \vdots \\ q_f \\ \hline p_1 \\ \vdots \\ p_f \end{pmatrix}, \quad \underline{y} = \begin{pmatrix} Q_1 \\ \vdots \\ Q_f \\ \hline P_1 \\ \vdots \\ P_f \end{pmatrix}$$

$$\dot{x} = \underline{J} \underline{H}_x$$

$$\Rightarrow M_{xp} = \frac{\partial x}{\partial p}, \quad M_{yp}^{-1} = \frac{\partial y}{\partial p}$$

$$\Rightarrow \underline{M} = \underline{J} (\underline{J} \underline{H}^{-1})^T \Leftrightarrow \underline{M}^T \underline{J} \underline{M} = \underline{J}, \quad \underline{J} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

non-degenerate, bimetric

- $\underline{J}$  defines a skew-symplectic form:  $\langle \underline{u}, \underline{v} \rangle = -(\underline{v}, \underline{u})$   
 alternating form  
 $= \underline{u}^T \underline{J} \underline{v}$
- $\langle \underline{u}, \underline{u} \rangle = 0 \Rightarrow 0 = \langle \underline{u} + \underline{w}, \underline{u} + \underline{w} \rangle = \underbrace{\langle \underline{u}, \underline{u} \rangle}_{=0} + \underbrace{\langle \underline{u}, \underline{w} \rangle}_{=0} + \underbrace{\langle \underline{w}, \underline{u} \rangle}_{=0} + \underbrace{\langle \underline{w}, \underline{w} \rangle}_{=0}$

$$\bullet \quad \begin{aligned} \underline{J} \underline{x} &= \sum_{k=1}^{2f} \frac{\partial \underline{J}}{\partial x_k} \underline{x}_k & \Rightarrow \langle \underline{u}, \underline{u} \rangle = -\langle \underline{u}, \underline{u} \rangle \\ \underline{J} \underline{H}_x &= \sum_{k=1}^{2f} \frac{\partial \underline{J}}{\partial x_k} \frac{\partial \underline{H}_x}{\partial \underline{x}_k} & \left\{ \begin{array}{l} \underline{J} = \underline{H}_x^{-1} \underline{x} = \dots = \underline{J} \underline{H}_x \\ \underline{H}_x = \underline{M}^T \underline{H}_y \end{array} \right. \end{aligned}$$

$$\bullet \quad \underline{M} = \left( \begin{array}{c|c} \frac{\partial \underline{q}}{\partial \underline{Q}} & \frac{\partial \underline{q}}{\partial \underline{P}} \\ \hline \frac{\partial \underline{P}}{\partial \underline{Q}} & \frac{\partial \underline{P}}{\partial \underline{P}} \end{array} \right) \quad \left\{ \begin{array}{l} 2f \\ 2f \end{array} \right\} \hookrightarrow \left( \begin{array}{c|c} M_3(P, Q, t) & M_4(P, \underline{P}, t) \\ \hline M_1(Q, \underline{Q}, t) & M_2(Q, \underline{P}, t) \end{array} \right)$$

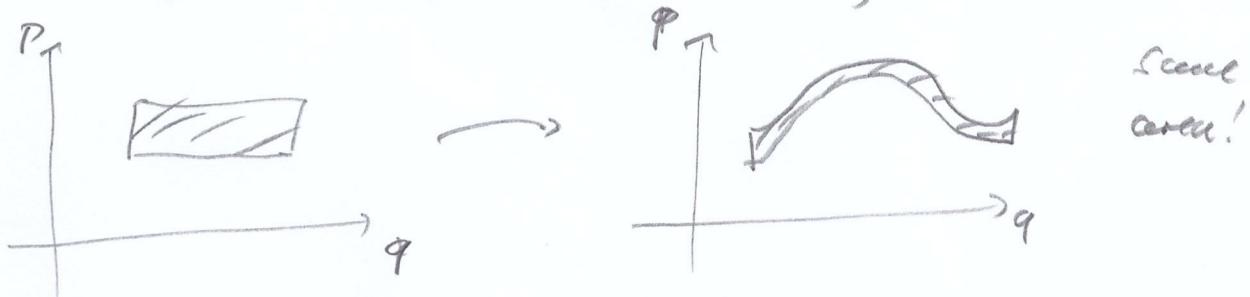
Def: The set of matrices  $\underline{M}$  (canonical transformations) with  $\underline{M}^T \underline{J} \underline{M} = \underline{J}$   
 forms the (real) symplectic group  $S$  over  $\mathbb{R}^{2f}$ .

## 5.4 Liouville's theorem

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Thus: The flow of Hamiltonian systems preserves the phase space volume.

(The phase-space distribution function is constant along the trajectories of Hamiltonian systems.)



• flow:  $\phi_{t_1 t_0} : M \rightarrow M$

$$x_0(t_0) \mapsto \phi_{t_1 t_0}(x_0) = x(t)$$

## 5.5 Poisson brackets

given 2 functions / observables  $g(q, p, t)$  and  $h(q, p, t)$ , their

Poisson brackets takes the form:

$$\{g, h\} = \sum_{i=1}^n \left( \frac{\partial g}{\partial q_i} \frac{\partial h}{\partial p_i} - \frac{\partial g}{\partial p_i} \frac{\partial h}{\partial q_i} \right)$$

$$\bullet \{g, h\} = - \{h, g\}$$

$$\bullet \{g, \lambda_1 h_1 + \lambda_2 h_2\} = \lambda_1 \{g, h_1\} + \lambda_2 \{g, h_2\}$$

$$\bullet \{g, h\} = 0 \quad \forall h \Rightarrow g = \text{const}$$

$$\bullet \{g, g\} = 0$$

$$\bullet \frac{dg}{dt} = \{g, H\} + \frac{\partial g}{\partial t}$$

$$\bullet \{g, h\} = (g_x, h_x) = J_x^\top \tilde{J} \begin{pmatrix} h \\ \vdots \\ h_n \end{pmatrix}, \quad J_x = \begin{pmatrix} \frac{\partial g}{\partial q_1} & \dots & \frac{\partial g}{\partial q_n} \\ \vdots & \ddots & \vdots \\ \frac{\partial g}{\partial p_1} & \dots & \frac{\partial g}{\partial p_n} \end{pmatrix}, \quad \tilde{J} = \begin{pmatrix} 0 & 1 & & \\ -1 & 0 & & \\ & & \ddots & \\ & & & 0 \end{pmatrix}, \quad h = \begin{pmatrix} h_1 \\ \vdots \\ h_n \end{pmatrix}$$

## 5.5 Poisson brackets (continued)

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- $g = g(q, p, \mathbf{x}) \Rightarrow \frac{dg}{dt} = \{g, H\}$

- fundamental Poisson brackets:

$$\left. \begin{aligned} \{q_i, q_j\} &= 0 = \{p_i, p_j\} \\ \{q_i, p_j\} &= \delta_{ij} \end{aligned} \right\} \Leftrightarrow \{x_i, x_j\} = J_{ij}$$

$$J = \begin{pmatrix} 0 & \mathbb{I} \\ -\mathbb{I} & 0 \end{pmatrix}$$

- Theorem: The transformation  $(q, p) \rightarrow (\underline{Q}, \underline{P})$  is canonical iff  $\{\underline{Q}_i, \underline{P}_j\} = \delta_{ij}$ ,  $\{\underline{Q}_i, \underline{Q}_j\} = 0 = \{\underline{P}_i, \underline{P}_j\}$ .

→ Proof?

- Uniqueness: equivalent statements:

(i)  $\underline{x} \mapsto \underline{y}$  is canonical

(ii)  $\dot{\underline{x}} = \underline{J} \underline{H}_{\underline{x}}$  are inv. eq.

(iii)  $\{g, h\}$  are inv.

(iv)  $\{x_i, x_j\} = \delta_{ij}$  are inv.

(v) Jacobian  $J_{qp} = \frac{\partial \underline{x}}{\partial p}$  is symplectic ( $J^T \underline{J} = \underline{I}$ )

(vi) There exists a generating function

- link to QM

## 5.6 Hamilton-Jacobi theory

idea: find canonical forces from for which all variables become canonical.

$$\hookrightarrow \bar{H} = 0$$

$$\hookrightarrow H_2(q, \underline{P}, t) =: S(q, \underline{P}, t) \text{ with: } (q, \underline{P}) \rightarrow (\underline{Q}, \underline{P})$$

$$H(q, \underline{P}, t) \rightarrow \bar{H}(\underline{Q}, \underline{P}, t) = H + \frac{\partial S}{\partial t}$$

$$P_k = \frac{\partial S}{\partial q_k}, \quad Q_k = \frac{\partial}{\partial P_k}$$

$$\Rightarrow \bar{H} = H(q_1, \dots, q_f, \frac{\partial S}{\partial q_1}, \dots, \frac{\partial S}{\partial q_f}, t) + \frac{\partial S}{\partial t} = 0$$

$$\Rightarrow \dot{Q}_k = \frac{\partial \bar{H}}{\partial P_k} = 0 \quad \Rightarrow Q_k = P_k = \text{const.}$$

$$\dot{P}_k = -\frac{\partial \bar{H}}{\partial Q_k} = 0 \quad \Rightarrow P_k = \alpha_k = \text{const.} \Rightarrow S(q, \underline{\zeta}, t)$$

- Recipe:

$$(i) H(q, \underline{P}, t), \quad P_k = \frac{\partial S}{\partial q_k} \Rightarrow H\left(q, \frac{\partial S}{\partial q}, t\right) + \frac{\partial S}{\partial t} = 0$$

(ii) Solve Hamilton-Jacobi equation  $\Rightarrow S(q, \underline{\zeta}, t); \underline{\zeta} = \underline{P}$

$$(iii) Q_k = \frac{\partial S}{\partial \alpha_k} = P_k \Rightarrow q_j = q_j(\underline{\zeta}, t, \underline{\alpha})$$

$$(iv) P_j = \frac{\partial S}{\partial q_j} = P_j(q, \underline{\zeta}, t)$$

(v) Determine  $\underline{\zeta}, \underline{\alpha}$  from initial conditions.

$$q_j(0) = q_j(\underline{\zeta}(t=0), \underline{\alpha}(t=0)) \quad \left. \begin{array}{l} \\ \end{array} \right\} \Rightarrow \underline{\zeta} = \underline{\zeta}(q(0), \underline{\alpha}(0))$$

$$p_j(0) = P_j(\underline{\zeta}(t=0), \underline{\alpha}(t=0)) \quad \left. \begin{array}{l} \\ \end{array} \right\} \Rightarrow \underline{\alpha} = \underline{\alpha}(q(0), \underline{\zeta}(0))$$

- $S = \int L dt$  "action"

$$\cdot \frac{\partial K}{\partial t} = 0 \Leftrightarrow \frac{dK}{dt} = f(K, K_t) = 0 \Rightarrow K: \text{Integral of coordinates} \Rightarrow S(q, \underline{P}, t) = W(q) - E_t$$

## 6. Mechanics of a rigid body

idea: spatially extended system of

- (a)  $N$  masses with fixed distances  $M = \sum_{i=1}^N m_i$
- (b) continuous density of mass  $M = \int d\vec{r} \rho(\vec{r})$

coordinates:

(i) Lab system (System of inertia) :  $S$

(ii) body-fixed coordinates frame :  $S^*$

(iii) transformed system of (i) with center of mass as origin:  $S'$

$$\text{position in } S^*: \underline{r}^* = \underline{r} = \underline{r}' + \underline{r}_0$$

$\uparrow$   $\uparrow$   
positioned center of mass

$\Rightarrow |\underline{r}| = \text{const}$ , but orientation can change

• Euler angles:  $\varphi, \vartheta, \psi \rightarrow R_3(\psi) = \begin{pmatrix} \cos \varphi & 0 & 0 \\ -\sin \varphi & \cos \vartheta & 0 \\ 0 & 0 & 1 \end{pmatrix}$

$\varphi$ : rotation around  $\underline{e}_3$

$\vartheta$ : rotation around  $\underline{e}_1^{(M)}$   $\rightarrow R(\vartheta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \vartheta & -\sin \vartheta \\ 0 & \sin \vartheta & \cos \vartheta \end{pmatrix}$

$\psi$ : rotation around  $\underline{e}_2^{(M)}$   $\rightarrow R_2(\psi) = \begin{pmatrix} \cos \psi & \sin \psi & 0 \\ -\sin \psi & \cos \psi & 0 \\ 0 & 0 & 1 \end{pmatrix}$

$\Rightarrow$  combined/chaired rotation:  $R(\vartheta, \varphi, \psi) = R_3(\psi) R_2(\vartheta) R_1(\varphi)$

6.1 Kinetic energy & Inertia tensor

$$(a) T = \frac{1}{2} M \dot{\underline{r}}_0^2 + \frac{1}{2} \underline{\omega}^T \underline{\Omega} \underline{\omega}$$

$\underbrace{\hspace{2cm}}$   $\underbrace{\hspace{2cm}}$

center of mass      rotation

$$\underline{\Omega} = \sum_{j,k}^N m_j \left[ (\dot{d}_k^{(ij)})^2 f_{jk} - d_j \cdot \dot{d}_k \right]$$

$$(b) T = \frac{1}{2} M \dot{\underline{r}}_0^2 + \frac{1}{2} \underline{\omega}^T \underline{\Omega} \underline{\omega}$$

$$\underline{\Omega}_{jk} = \int d\vec{r} \rho(\vec{r}) \left[ d^2 f_{jk} - d_j \cdot d_k \right]$$

$\Theta$  of Sphere  $r_m$

$$\underline{\rho}(r) = \begin{cases} M/4 \pi r^3 & r \leq R \\ 0 & r > R \end{cases}$$

$$\underline{\Omega} = \underline{\Omega}_1 = \underline{\Omega}_2 = \underline{\Omega}_3 = \frac{2}{5} MR^2$$

$$\underline{\Omega} = \begin{pmatrix} \underline{\Omega} & 0 & 0 \\ 0 & \underline{\Omega} & 0 \\ 0 & 0 & \underline{\Omega} \end{pmatrix}$$

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### 6.2 Properties of inertia tensor (continued)

$$(a) \underline{\Omega}_{jk} = \sum_{i=1}^N m_i \left[ d^{(i)}{}^2 s_{jk} - d_j^{(i)} d_k^{(i)} \right]$$

$$(b) \underline{\Omega}_{jk} = \int dV \, S(g) \left( d^2 s_{jk} - d_j d_k \right)$$

\* diagonalization of  $\underline{\Omega}$ :  $x \rightarrow x' = Rx$

$$\underline{\Omega} \rightarrow \underline{\Omega}' = R^T \underline{\Omega} R$$

such that  $\underline{\Omega}' = \begin{pmatrix} \Theta_1 & 0 & 0 \\ 0 & \Theta_2 & 0 \\ 0 & 0 & \Theta_3 \end{pmatrix}$        $\Theta_i$ : principal elements of inertia  
 $\underline{\omega}'^{(i)}$ : principal axes

$$\Rightarrow \text{eigenvalue equation: } \underline{\Omega} \underline{\omega}'^{(i)} = \Theta_i \underline{\omega}'^{(i)}$$

$\Theta_1 = \Theta_2 = \Theta_3$  spherical top (symmetric, not necessarily a sphere!)

$\Theta_1 = \Theta_2 \neq \Theta_3$  symmetric top

$\Theta_1 \neq \Theta_2 \neq \Theta_3$  asymmetric top

\* moment of inertia w.r.t.  $\underline{\omega} = \underline{\omega}' \underline{\omega}$ :  $\underline{\Omega}_n = \underline{\omega}'^T \underline{\Omega} \underline{\omega}$

$$\begin{aligned} &= \sum_{i=1}^N m_i \left[ d^{(i)2} - (\underline{d}^{(i)} \cdot \underline{\omega})^2 \right] \\ &= \sum_{i=1}^N m_i (\underline{d}^{(i)2})^2 \quad \{ \underline{d}^{(i)} = \underline{\omega}' \underline{d}^{(i)} \} \end{aligned}$$

$$\Rightarrow T_{\text{rot}} = \frac{1}{2} \underline{\Omega}_n \underline{\omega}^2$$

$$* \text{Stefan's theorem: } \underline{\Omega}'_{jk} = \underline{\Omega}_{jk} + M \left( \alpha^2 s_{jk} - \alpha_j \alpha_k \right)$$

with rotation axes of  $S$  and  $S'$  shifted by  $\underline{\alpha}$

$$* \underline{\Omega}'_n = \underline{\Omega}_n + M \underline{d}^2, \quad \underline{d}^2 = \alpha^2 - (\underline{\alpha} \cdot \underline{\alpha})^2, \quad \underline{\Omega}_n = \underline{\omega}'^T \underline{\Omega} \underline{\omega}$$

### 6.3 Angular momentum & equations of motion

Feb

$$(a) \underline{\zeta} = M \underline{r}_o \times \dot{\underline{v}}_o + \underbrace{\sum_{i=1}^N m_i \underline{d}^{(i)} \times (\underline{\omega} \times \underline{d}^{(i)})}$$

$\underline{r}_o$ : centre of mass       $\underline{\zeta}_k$ : relative angular acceleration

$$(b) \underline{\zeta} = M \underline{r}_o \times \dot{\underline{v}}_o + \int d^3r \ S(q) \cdot \underline{d} \times (\underline{\omega} \times \underline{d})$$

$$\Rightarrow \underline{\zeta}_R = \underline{\theta} \underline{\omega}$$

in principle:  $\underline{\zeta}_R \propto \underline{\theta}$  (rotation by  $\underline{\theta}$ )

### 6.3 Angular momentum & equations of motion

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$$\text{Centre of mass theorem: } M_{Co} \ddot{\underline{v}} = \sum_{i=1}^N \underline{\underline{F}}^{(i) \text{ external}} = \underline{\underline{F}}^{\text{external}}$$

$$\text{Angular momentum theorem: } \sum_{i=1}^N m_i \underline{\underline{d}}^{(i)} \times \underline{\underline{\dot{d}}}^{(i)} = \sum_{i=1}^N \underline{\underline{d}}^{(i)} \times \underline{\underline{\underline{F}}}^{(i) \text{ external}}$$

$$= \underline{\underline{M}} \quad (\text{torque})$$

$$\circ \frac{d}{dt} \underline{\underline{L}} = \frac{d^k}{dt^k} \underline{\underline{L}} + \underline{\omega} \times \underline{\underline{L}}$$

$\uparrow$   
body-fixed derivative

$$\circ \text{Euler's equations: } \frac{d}{dt} \underline{\underline{L}} = \underline{\underline{\Omega}} \underline{\dot{\omega}} + \underline{\omega} \times \underline{\underline{\Omega}} \underline{\omega} = \underline{\underline{M}}$$

$$\Leftrightarrow \begin{cases} \Omega_1 \dot{\omega}_1 + (\Omega_2 - \Omega_3) \omega_2 \omega_3 = M_1 \\ \Omega_2 \dot{\omega}_2 + (\Omega_1 - \Omega_3) \omega_1 \omega_3 = M_2 \\ \Omega_3 \dot{\omega}_3 = (\Omega_2 - \Omega_1) (\omega_1 \omega_2 = M_3) \end{cases} \quad \text{coupled, nonlinear eqs.}$$

### 6.4 Examples:

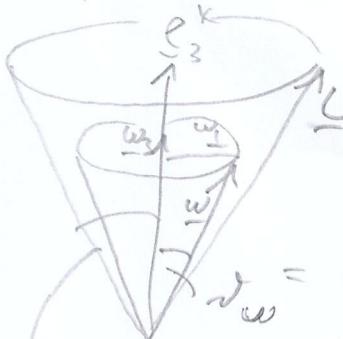
1) Rotor-free top (geometric:  $\Omega_1 = \Omega_2 = \Omega \neq \Omega_3$ )

$$\Rightarrow \omega_3 = \text{const.}$$

$$\omega_1(t) = \omega_1 \sin(\omega_0 t - \varphi_0), \quad \omega_3 = \frac{\Omega - \Omega_3}{\Omega} \omega_3$$

$$\omega_2(t) = \omega_2 \cos(\omega_0 t - \varphi_0)$$

$$\omega_1^2 + \omega_2^2 = \omega_0^2$$



$$\omega = \arctan \frac{\omega_2}{\omega_3} \quad (\text{tilt})$$

$$\theta_{\text{precession}} = \arctan \frac{\underline{\underline{L}}_1}{L_3} = \arctan \frac{\Omega \omega_1}{\Omega_3 \omega_3} = \omega \quad (\text{Euler angle})$$

Symmetrische Kreisförmige:

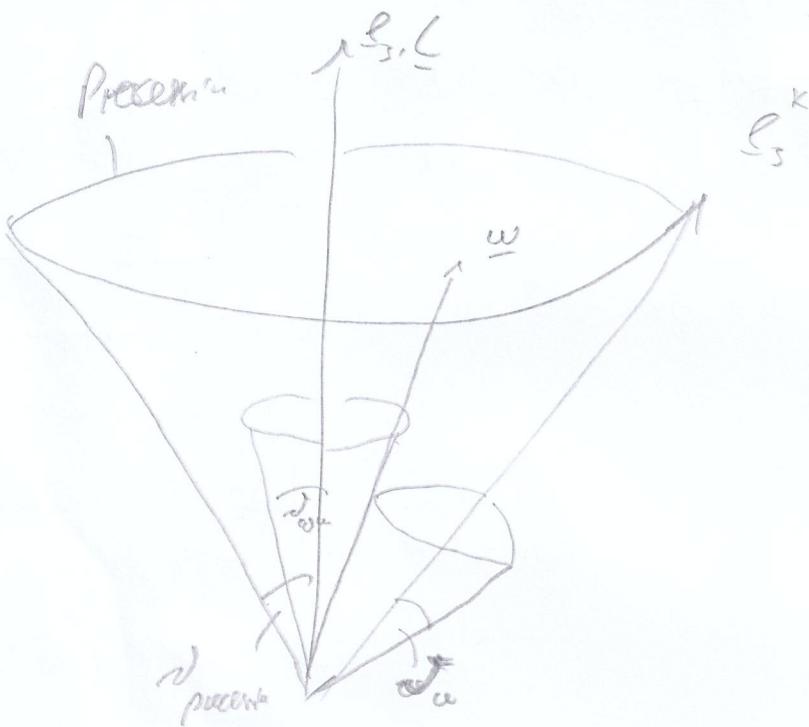
$$\begin{pmatrix} \omega_1^* \\ \omega_2^* \\ \omega_3^* \end{pmatrix} = \varphi \begin{pmatrix} \sin(\varphi) \cos\alpha \\ -\sin(\varphi) \sin\alpha \\ \cos\varphi \end{pmatrix} + \dot{\varphi} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \quad \dot{\varphi} = 0$$

$$= \begin{pmatrix} \omega_1^* \sin(\varphi) \\ \omega_2^* \cos(\varphi) \\ \text{const} \end{pmatrix}$$

$$\Rightarrow \text{Euler angles } \gamma = \varphi t + \varphi_0, \quad \varphi = \varphi' = \frac{\partial \varphi}{\partial \cos\alpha}, \quad \omega(1) \approx \omega_0$$

$$\varphi = \varphi' \Rightarrow \varphi = \omega' t + \varphi_0$$

$$\omega(l_3, l_3^*) = \omega_{\text{kom}} = \text{arctan} \frac{\sin \varphi_0}{\sqrt{1 + \sin^2 \varphi_0}}$$

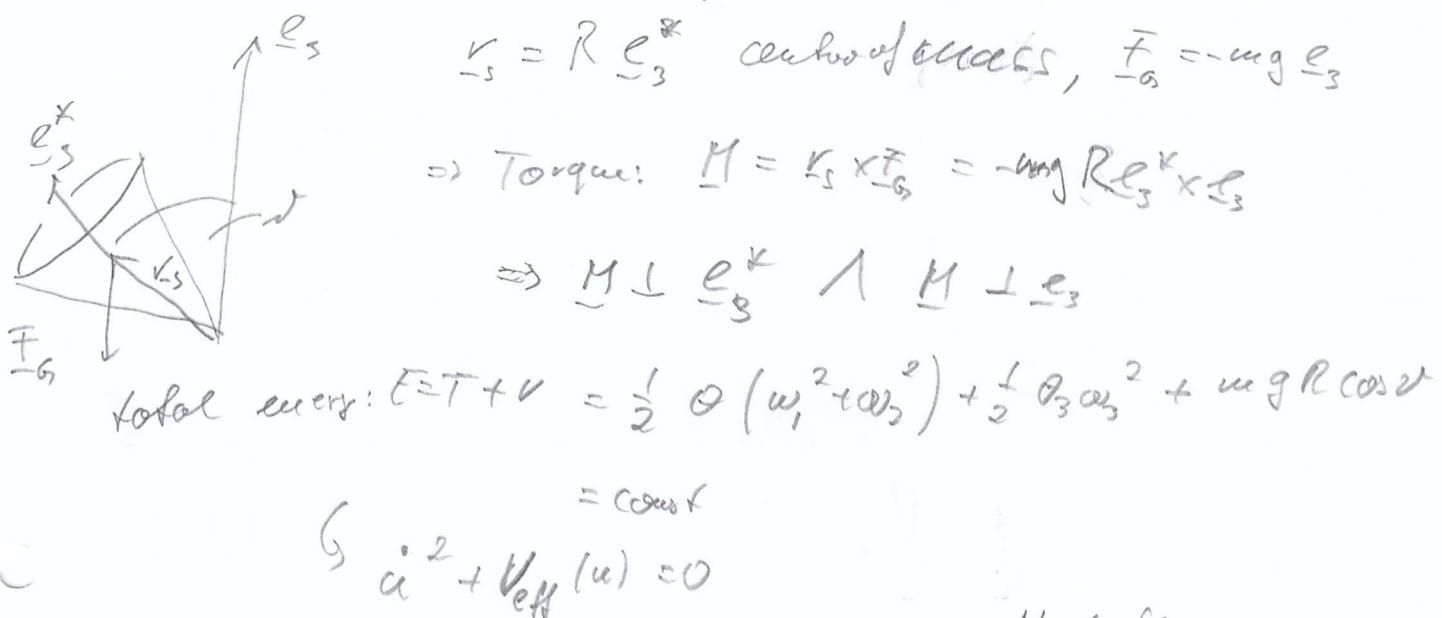


## 6.4 Examples:

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(1) torque-free top (symmetric)

(2) symmetric top subject to gravity



motion with  $-1 < \alpha_3 \leq \cos \theta_2 \leq \alpha_3 < 1$  Nutation

(3) torque-free asymmetric:  $0 < \theta_1 < \theta_2 < \theta_3 <$

$$\begin{aligned} \dot{\omega}_1 &= -d_1 \omega_2 \omega_3 \\ \dot{\omega}_2 &= d_2 \omega_3 \omega_1 \\ \dot{\omega}_3 &= -d_3 \omega_1 \omega_2 \end{aligned} \quad \left. \begin{array}{l} d_1 = \frac{\theta_3 - \theta_2}{\theta_1 - \theta_2} \\ d_2 = \frac{\theta_2 - \theta_1}{\theta_3 - \theta_1} \\ d_3 = \frac{\theta_2 - \theta_3}{\theta_3 - \theta_1} \end{array} \right\} d_i > 0$$

fixed points:  $\underline{\omega}^* \in \{ \begin{pmatrix} \omega \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ \omega \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ \omega \end{pmatrix} \}$

c) linearization:  $\dot{\underline{\omega}} = \underline{\omega} - \underline{\omega}^*$ :

$$\begin{pmatrix} \dot{\omega}_1 \\ \dot{\omega}_2 \\ \dot{\omega}_3 \end{pmatrix} = \begin{pmatrix} 0 & -d_1 \omega_3 & -d_1 \omega_2 \\ d_2 \omega_3 & 0 & d_2 \omega_1 \\ -d_3 \omega_2 & -d_3 \omega_1 & 0 \end{pmatrix} \begin{pmatrix} \delta \omega_1 \\ \delta \omega_2 \\ \delta \omega_3 \end{pmatrix}$$

(not unstable)

c) eigenvalues:

$$(\omega, 0, 0) \quad \lambda_1 = 0, \lambda_{2,3} = \pm i \omega \sqrt{d_2 d_3}$$

stable / center

$$(0, \omega, 0) \quad \lambda_1 = 0, \lambda_{2,3} = \pm \omega \sqrt{d_1 d_3}$$

unstable / saddle

$$(0, 0, \omega) \quad \lambda_1 = 0, \lambda_{2,3} = \pm i \omega \sqrt{d_1 d_2}$$

stable / center

$\Rightarrow$  1 unstable motion / rotation around axes relating to  $\theta_2$

# 7. Continuum mechanics

PG 2

## 7.1 3 spring coupled oscillators



$$L = T - V$$

$$= \frac{m}{2} \sum_{i=0}^{N+1} \dot{q}_i^2 + \frac{D}{2} \sum_{i=0}^{N+1} (q_i - q_{i+1})^2$$

$$\text{equation of motion: } m \ddot{q}_j = D(q_{j+1} - 2q_j + q_{j-1}) = 0 \quad j=1,2,3.$$

$$\text{boundary conditions: } q_0(t) = 0 = q_{N+1}(t)$$

$$\text{solution / ansatz: } q_j(t) = (a_j \cos(\omega t - \delta))$$

$$\hookrightarrow \begin{pmatrix} 2\frac{D}{m} & -\frac{D}{m} & 0 \\ -\frac{D}{m} & 2\frac{D}{m} & -\frac{D}{m} \\ 0 & -\frac{D}{m} & 2\frac{D}{m} \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix} = \omega^2 \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix} \quad \text{Eigenvalue equation}$$

$$\Rightarrow \lambda_1 = \omega_1^2 = 2 \frac{D}{m} \Rightarrow \omega_1 = \sqrt{2} \omega_0, \omega_0 = \frac{D}{m}, a'' = \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$$

$$\lambda_{2,3} = \omega_{2,3}^2 = (2 \pm \sqrt{2}) \frac{D}{m} \Rightarrow \omega_2 = \sqrt{2 + \sqrt{2}} \omega_0, \underline{\omega}^{(2)} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

$$\omega_3 = \sqrt{2 - \sqrt{2}} \omega_0, \underline{\omega}^{(3)} = \begin{pmatrix} 1 \\ 1 \\ -1 \end{pmatrix}$$

## 7.2 limit to continuum

$$q_j(t) = C \sin(\alpha_j) \cos(\omega t - \delta), \quad j=1, \dots, N, \quad q_0(t) = 0 = q_{N+1}(t)$$

On free curves!

$$\text{with } \alpha_j = \frac{\pi k}{N+1}$$

$$\hookrightarrow \left[ (-m\omega^2 + d) \left( 1 - \cos\left(\frac{\pi k}{N+1}\right) \right) \right] C \sin(\alpha_j) = 0$$

$$\hookrightarrow \omega_N = \sqrt{\frac{D}{m}} \sin\left(\frac{\pi k}{2(N+1)}\right) \quad \text{checked for } k=1, 2, 3$$

$$\Rightarrow q_j(t) = \sum_{k=1}^N \sin\left(\frac{\pi k}{N+1} j\right) \underbrace{C_k \cos(\omega_k t - \delta_k)}_{[e_k \cos(\omega_k t) + e_k' \sin(\omega_k t)]}$$

limits:

$N \rightarrow \infty, l_0 \rightarrow 0 : (N+1)l_0 = l = \text{const}$  (total length)

$m \rightarrow 0, l_0 \rightarrow 0 : \frac{m}{l_0} = \rho = \text{const}$  (density of mass)

$D \rightarrow \infty, l_0 \rightarrow 0 : Dl_0 = \text{const}$  (total potential energy)  
 $\Rightarrow P = \gamma$  (modulus of elasticity)

with spatial coordinate:  $x = jl_0 \rightarrow q_j(t) \rightarrow \psi(x, t)$

$$\frac{1}{c^2} \frac{\partial^2}{\partial t^2} \psi(x, t) = \frac{\partial^2}{\partial x^2} \psi(x, t) \quad \text{wave equation}$$

$$\frac{1}{c^2} = \frac{m}{l_0} \frac{1}{Dl_0}$$

### 7.3 Wave equation

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$$\frac{1}{c^2} \frac{\partial^2}{\partial t^2} \psi(x, t) = \frac{\partial^2}{\partial x^2} \psi(x, t) \quad c: (\text{phase}) \text{ velocity}$$

↳ D'Alembert | ansatz  
solution  $\psi(x, t) = f(x \pm ct)$

↳ standing waves in co-moving frame

↳ Bernoulli | solution:  $\psi(x, t) = g(x) h(t)$   
ansatz

$$\Rightarrow \ddot{h}(t) = -c^2 k^2 h(t) \quad \text{and} \quad g''(x) = -k^2 g(x)$$

e.g. 1) guitar string:

$$\psi(x, t) = \sum_{n=1}^{\infty} \sin\left(\frac{n\pi}{L}x\right) \left( d_n \cos(\omega_n t) + e_n \sin(\omega_n t) \right)$$

$$(ii) 2D rectangular membrane: \frac{\partial^2}{\partial x^2} \psi(x, y, t) = \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \psi(x, y, t)$$

[diagram] [diagram]

$$\psi(x, y, t) = \sum_{n, m=1}^{\infty} \sin\left(\frac{n\pi}{a}x\right) \sin\left(\frac{m\pi}{b}y\right) \left[ d_{nm} \cos(\omega_{nm} t) + e_{nm} \sin(\omega_{nm} t) \right] \\ \omega_{nm} = \pi C \sqrt{\frac{n^2}{a^2} + \frac{m^2}{b^2}} + f(x, y) \psi(x, y)$$

• First of Lagrange function:

$$L = T - V = \int dx \underbrace{\left[ \frac{1}{2} \left( \frac{\partial \psi(x, t)}{\partial t} \right)^2 - \frac{P}{2} \left( \frac{\partial \psi}{\partial x} \right)^2 \right]}_{L: \text{Lagrange density}}$$

$$\text{S} \delta L = \int \delta L = 0$$

$$\Rightarrow \frac{\partial}{\partial t} \frac{\partial L}{\partial \dot{\psi}} + \frac{\partial}{\partial x} \frac{\partial L}{\partial \psi_x} - \frac{\partial L}{\partial \psi} = 0 \quad \left( \text{d} \frac{\partial L}{\partial \dot{\psi}_j} - \frac{\partial L}{\partial \psi_j} = 0 \right)$$

-  $f(x, t)$  increase of external force

$$\text{Total force } F(t) = \int_0^t \delta \psi f(x, t) dt$$

### 7.3 Wave equation

- Circular / conical air membrane

$$\partial_{xx} u + \partial_{yy} u = \frac{1}{c^2} \partial_{tt} u \quad \text{with } u(r=0, \varphi, t) = 0 \quad (\text{boundary condition})$$

Protocol: separation of (i)  $t$  and  $(r, \varphi)$  dependence

(ii)  $r$  and  $\varphi$  dependent

$$\Rightarrow u(r, \varphi, t) = R(r) \phi(\varphi) T(t), \quad \Delta = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} = \frac{1}{r} \frac{\partial}{\partial r} + \frac{\partial^2}{\partial \varphi^2} + \frac{1}{r^2} \frac{\partial^2}{\partial \varphi^2}$$

$$(i) \frac{\partial^2}{\partial t^2} + c^2 k^2 T = 0 \Rightarrow T(t) = A \cos(\omega t) + B \sin(\omega t)$$

$$(ii) \frac{d^2 \phi}{d\varphi^2} + \lambda^2 \phi = 0 \Rightarrow \phi(\varphi) = C \cos(\lambda \varphi + \varphi_0) \quad \text{with } \lambda \in \mathbb{Z} \quad (2\pi \text{ periodicity})$$

$$(iii) r^2 \frac{d^2 R}{dr^2} + r \frac{dR}{dr} + (k^2 r^2 - \lambda^2) R = 0 \quad \text{Bessel equation} \quad (x = kr)$$

$$\text{Ansatz: } R(x) = x^\beta \sum_{n=0}^{\infty} a_n x^n$$

$$\Rightarrow R(r) = \sum_{m=0}^{\infty} D_m J_m(kr) \quad \text{with } J_m \text{ Bessel function}$$

$$\Rightarrow u(r, \varphi, t) = \sum_{m=1}^{\infty} \sum_{n=0}^{\infty} [A_{mn} \cos(\omega_{mn} t) + B_{mn} \sin(\omega_{mn} t)] \cos(m\varphi + \varphi_0) / k_{mn} r$$

$$\text{with } k_{mn} = \frac{\mu_{mn}}{l}, \quad \mu_{mn}: \text{root of } J_m \quad (\text{boundary conditions})$$

$$\bullet \text{Lagrange density 1BD: } L = \int dr \left[ \frac{1}{2} \dot{q}^2 - V(q, \dot{q}, \varphi) \right]$$

density of potential energy

$$V = V^{\text{internal}} + V^{\text{extendl}}$$

$$\uparrow \quad -f(V, q) \cdot g(q, \dot{q})$$

$$= V^{\text{internal}}(0) + \sum_{j=1}^3 \frac{\partial V^{\text{internal}}}{\partial q_j} q_j + \frac{1}{2} \sum_{ijkl} \underbrace{\frac{\partial^2 V^{\text{internal}}}{\partial q_j \partial q_k} q_j q_k}_{\{ij\} \{kl\}}$$

$$q = \begin{pmatrix} \partial_x q_x & \partial_x q_y & \partial_x q_z \\ \partial_y q_x & \partial_y q_y & \partial_y q_z \\ \partial_z q_x & \partial_z q_y & \partial_z q_z \end{pmatrix}$$

$\{ij\}$   
darker by factor